

Date Mailed: February 20, 2001

Sheet 1 of 13

FORM 1449*		Docket Number: 3616.20USC5	Application Number: 09/665,231
INFORMATION DISCLOSURE STATEMENT			
IN AN APPLICATION			
(Use several sheets if necessary)			
 O I P PATENT & TRADEMARK OFFICE FEB 23 2001			
Applicant: WOOLFORD ET AL.			
Filing Date: 09/18/2000		Group Art Unit: 3673	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	Des. 237,704	11/18/1975	Lane	—	—	
	Des. 279,030	05/28/1985	Risi et al.			RECEIVED
	Des. 280,024	08/06/1985	Risi et al.			
	Des. 284,109	06/03/1986	Seal, Jr.			FEB 27 2001
	Des. 295,788	05/17/1988	Forsberg			
	Des. 295,790	05/17/1988	Forsberg			TO 3600 MAIL ROOM
	Des. 296,007	05/31/1988	Forsberg			
	Des. 296,365	06/21/1988	Forsberg			
	Des. 297,464	08/30/1988	Forsberg			
	Des. 297,574	09/06/1988	Forsberg			
	Des. 297,767	09/20/1988	Forsberg			
	Des. 298,463	11/08/1988	Forsberg			
	Des. 299,067	12/20/1988	Forsberg			
	Des. 299,069	12/20/1988	Risi et al.			
	Des. 300,253	03/14/1989	Forsberg			
	Des. 300,254	03/14/1989	Forsberg			
	Des. 301,064	05/09/1989	Forsberg			
	Des. 311,444	10/16/1990	Forsberg			
	Des. 316,904	05/14/1991	Forsberg			
	Des. 317,048	05/21/1991	Forsberg			
	Des. 317,209	05/28/1991	Forsberg			
	Des. 380,560	07/01/1997	Forsberg			
	126,547	05/07/1872	Hickcox			
	228,052	05/25/1880	Frost			
	468,838	02/16/1892	Steiger			
	566,924	09/01/1896	Morrin			
	810,748	01/23/1906	Haller et al.			
	831,077	09/18/1906	Johnson			

EXAMINER	<i>full</i>	DATE CONSIDERED	<i>3/7/01</i>
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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)			Docket Number: 3616.20USC5	Application Number: 09/665,231
			Applicant: WOOLFORD ET AL.	
			Filing Date: 09/18/2000	Group Art Unit: 3673

847,476	03/19/1907	Hodges	—	—	
884,354	04/14/1908	Bertrand	—	—	
916,756	03/30/1909	Grant	—	—	RECEIVED
1,002,161	08/29/1911	Lambert	—	—	
1,092,621	04/07/1914	Worner	—	—	FEB 27 2001
1,219,127	03/13/1917	Marshall	—	—	
1,222,061	04/10/1917	Bartells	—	—	TO 3600 MAIL ROOM
1,248,070	11/27/1917	Buente	—	—	
1,285,458	11/19/1918	Strunk	—	—	
1,287,055	12/10/1918	Lehman	—	—	
1,330,884	02/17/1920	McDermott	—	—	
1,414,444	05/02/1922	Straight	—	—	
1,419,805	06/13/1922	Bigler	—	—	
1,456,498	05/29/1923	Binns	—	—	
1,465,608	08/21/1923	McCoy	—	—	
1,472,917	11/06/1923	Laird	—	—	
1,557,946	10/20/1925	Smith	—	—	
1,695,997	12/18/1928	Evers et al.	—	—	
1,727,363	09/10/1929	Bone	—	—	
1,733,790	10/29/1929	Gilman	—	—	
1,751,028	03/18/1930	Casell et al.	—	—	
1,773,579	08/19/1930	Flath	—	—	
1,907,053	05/02/1933	Flath	—	—	
1,993,291	03/05/1935	Vermont	—	—	
2,011,531	08/13/1935	Tranchell	—	—	
2,034,851	03/24/1936	Wichmann	—	—	
2,094,167	09/28/1937	Evers	—	—	
2,113,076	04/05/1938	Bruce	—	—	
2,121,450	06/21/1938	Sentrop	—	—	
2,149,957	03/07/1939	Dawson	—	—	
2,197,960	04/23/1940	Alexander	—	—	

EXAMINER	<i>J. L. H.</i>	DATE CONSIDERED	3/6/01
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		Filing Date: 09/18/2000	Group Art Unit: 3673

O I P E
FEB 29 2001
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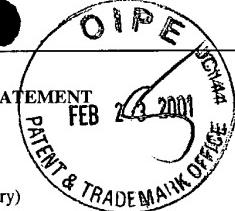
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FEB 23 2001
P A T E N T & T R A D E M A R K O F F I C E

2,219,606	10/29/1940	Schoick	—	—	
2,235,646	03/18/1941	Schaffer	—	—	RECEIVED
2,313,363	03/09/1943	Schmitt	—	—	
2,371,201	03/13/1945	Wells	—	—	FEB 27 2001
2,570,384	10/09/1951	Russell	—	—	
2,593,606	02/21/1950	Price	—	—	TO 3600 MAIL ROOM
2,683,916	07/20/1954	Kelly	—	—	
2,881,753	04/14/1959	Entz	—	—	
2,882,689	04/21/1959	Huch et al.	—	—	
2,892,340	06/30/1959	Fort	—	—	
2,925,080	02/16/1960	Smith	—	—	
2,963,828	12/13/1960	Belliveau	—	—	
3,036,407	05/29/1962	Dixon	—	—	
970,595	01/02/1963	—	—	—	
3,185,432	05/25/1965	Hager, Jr.	—	—	
3,204,316	09/07/1965	Jackson	—	—	
3,274,742	09/27/1966	Paul, Jr. et al.	—	—	
3,378,885	04/23/1968	Dart	—	—	
3,386,503	06/04/1968	Corning et al.	—	—	
3,390,502	07/02/1968	Carroll	—	—	
3,392,719	07/16/1968	Clanton et al.	—	—	
3,430,404	03/04/1969	Muse	—	—	
3,488,964	01/13/1970	Kubo	—	—	
3,557,505	01/26/1971	Kaul	—	—	
3,631,682	01/04/1972	Hilfiker et al.	—	—	
3,659,077	04/25/1972	Olson	—	—	
3,667,186	06/06/1972	Kato	—	—	
3,754,499	08/28/1973	Heisman et al.	—	—	
3,783,566	01/08/1974	Nielson	—	—	
3,888,060	06/10/1975	Haener	—	—	
3,925,994	12/16/1975	Broms et al.	—	—	

EXAMINER	DATE CONSIDERED
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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION <small>(Use several sheets if necessary)</small>			
		Docket Number:	Application Number:
		3616.20USC5	09/665,231
Applicant: WOOLFORD ET AL.			
		Filing Date: 09/18/2000	Group Art Unit: 3673

	3,932,098	01/13/1976	Huber et al.			RECEIVED
	3,936,987	02/10/1976	Calvin			FEB 27 2001
	3,936,989	02/10/1976	Hancock			TO 3600 MAIL ROOM
	3,953,979	05/04/1976	Kurose			
	3,981,038	09/21/1976	Vidal			
	3,995,434	12/07/1976	Kato et al.			
	4,001,988	01/11/1977	Rieffler			
	4,016,693	04/12/1977	Warren			
	4,023,767	05/17/1977	Fontana			
	4,051,570	10/04/1977	Hilfiker			
	4,067,166	01/10/1978	Sheahan			
	4,083,190	04/11/1978	Pey			
	4,098,040	07/04/1978	Rieffler			
	4,098,865	07/04/1978	Repasky			
	4,107,894	08/22/1978	Mullins			
	4,110,949	09/05/1978	Cambuzzi et al.			
	4,114,773	09/19/1978	Sekiguchi			
	4,124,961	11/14/1978	Habegger			
	4,126,979	11/28/1978	Hancock			
	4,132,492	01/02/1979	Jenkins			
	4,145,454	03/20/1979	Dea et al.			
	4,175,888	11/27/1979	Ijima			
	4,186,540	02/05/1980	Mullins			
	4,187,069	02/05/1980	Mullins			
	4,190,384	02/26/1980	Neumann			
	4,193,718	03/18/1980	Wahrendorf et al.			
	4,207,718	06/17/1980	Schaaf et al.			
	4,208,850	06/24/1980	Collier			
	4,214,655	07/29/1980	Bernham et al.			
	4,218,206	08/19/1980	Mullins			
	4,228,628	10/21/1980	Schlomann			

EXAMINER	<i>[Signature]</i>	DATE CONSIDERED	3/7/01
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INFORMATION DISCLOSURE STATEMENT		3616.20USC5	09/665,231
IN AN APPLICATION			
(Use several sheets if necessary)		Applicant: WOOLFORD ET AL.	
		Filing Date: 09/18/2000	Group Art Unit: 3673

JOPE
FEB 23 2001
PATENT & TRADEMARKS

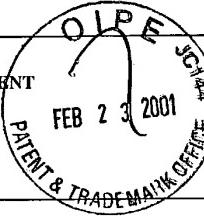
4,229,123	10/21/1980	Heinzmann			RECEIVED
4,238,105	12/09/1980	West			FEB 27 2001
4,242,299	12/30/1980	Adams			TO 3600 MAIL ROOM
4,250,863	02/17/1981	Gagnon			
4,262,463	04/21/1981	Hapel			
4,288,960	09/15/1981	Auras			
4,312,606	01/26/1982	Sarikelle			
4,314,431	02/09/1982	Rabassa			
4,319,440	03/16/1982	Rassias et al.			
4,324,505	04/13/1982	Hammett			
4,335,549	06/22/1982	Dean, Jr.			
4,337,605	07/06/1982	Tudek			
4,372,091	02/08/1983	Brown et al.			
4,380,409	04/19/1983	O'Neill			
4,384,810	05/24/1983	Neumann			
4,426,176	01/17/1984	Terada			
4,426,815	01/24/1984	Brown			
4,449,857	05/22/1984	Davis			
4,454,699	06/19/1984	Strobl			
4,470,728	09/11/1984	Broadbent			
4,490,075	12/25/1984	Risi et al.			
4,496,266	01/29/1985	Ruckstuhl			
4,512,685	04/23/1985	Hegle			
4,524,551	06/25/1985	Scheiwiller			
4,565,043	01/1986	Mazzayese			
4,572,699	02/25/1986	Rinninger			
4,616,959	10/14/1986	Hilfiker			
4,640,071	02/03/1987	Haener			
4,651,485	03/24/1987	Osborne			
4,658,541	04/21/1987	Haile			
4,659,304	04/21/1987	Day			

EXAMINER	<i>[Signature]</i>	DATE CONSIDERED	<i>5/27/01</i>
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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 3616.20USC5	Application Number: 09/665,231
		Applicant: WOOLFORD ET AL.	
		Filing Date: 09/18/2000	Group Art Unit: 3673



	4,660,342	04/28/1987	Salisbury			RECEIVED
	4,661,023	04/28/1987	Hilfiker			
	4,671,706	06/09/1987	Giardini			FEB 27 2001
	4,684,294	08/04/1987	O'Neill			
	4,698,949	10/13/1987	Dietrich			TO 3600 MAIL ROOM
	4,711,606	12/08/1987	Leling et al.			
	4,721,847	01/26/1988	Leverenz			
	4,726,567	02/23/1988	Greenberg			
	4,728,227	03/01/1988	Wilson et al.			
	4,738,059	04/19/1988	Dean, Jr.			
	4,770,218	09/13/1988	Duerr			
	4,784,821	11/15/1988	Leopold			
	4,802,320	02/07/1989	Forsberg			
	4,802,836	02/07/1989	Whissell			
	4,815,897	03/28/1989	Risi et al.			
	4,824,293	04/25/1989	Brown et al.			
	4,825,619	05/02/1989	Forsberg			
	4,860,505	08/29/1989	Bender			
	4,884,921	12/5/1989	Smith			
	4,815,196	12/21/1989				
	4,896,472	01/30/1990	Hunt			
	4,896,999	01/30/1990	Ruckstuhl			
	4,909,010	03/20/1990	Gravier			
	4,909,717	03/20/1990	Pardo			
	4,914,876	04/10/1990	Forsberg			
	4,936,712	06/26/1990	Glickman			
	4,964,761	10/23/90	Rossi			
	4,965,979	10/30/1990	Larribee et al.			
	5,017,049	05/21/1991	Sievert			
	5,031,376	07/16/1991	Bender et al.			
	5,044,834	09/03/1991	Janopaul, Jr.			

EXAMINER /	DATE CONSIDERED <u>3/3/01</u>
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		Docket Number: 3616.20USC5	Application Number: 09/665,231
		Applicant: WOOLFORD ET AL.	
		Filing Date: 09/18/2000	Group Art Unit: 3673

					RECEIVED
	5,062,610	11/05/1991	Woolford et al.	1	
	5,104,594	04/14/1992	Hillemeier et al.		
	5,125,815	06/30/1992	Kargarzadeh et al.		
	5,139,721	08/18/1992	Castonguay et al.		
	5,158,132	10/27/1992	Guillemot		
	5,161,918	11/10/1992	Hodel		
	5,261,806	11/16/1993	Pleasant		
	5,294,216	03/15/1994	Sievert		
	5,589,124*	12/31/1996	Wooldford et al.		
	5,827,015*	10/27/1998	Woolford et al.		
	6,142,713*	11/07/2000	Woolford et al.		
	6,183,168 B1*	02/06/2001	Woolford et al.		

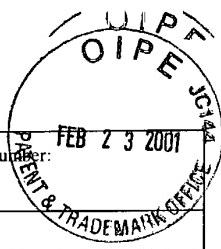
FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	548462	02/22/1980	AU				
	67477/81	02/19/1981	AU				
	22397/83	06/20/1985	AU				
	52765/86	08/21/1986	AU				
	80775/87	04/07/1988	AU				
	17231/83	04/06/1989	AU				
	Des. 47,747	01/05/1981	CA				
	Des. 50,020	7/1982	CA				
	Des. 51,160	04/11/1983	CA				
	Des. 51,313	05/09/1983	CA				
	Des. 51,794	09/12/1983	CA				
	Des. 62,875	04/06/1989	CA				
	Des. 63,365	05/30/1989	CA				
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	Des. 65,896	04/17/1990	CA				
	Des. 66,760	08/28/1990	CA				

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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)				Docket Number: 3616.20USC5	Application Number: 09/665,231
				Applicant: WOOLFORD ET AL.	
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Des. 67,904	01/30/1991	CA				
338,139	12/26/1933	CA				
531,354	10/09/1958	CA				
941,626	02/12/1974	CA				
1,040,452	10/17/1978	CA				
1,065,154	10/30/1979	CA				
1,182,295	02/12/1985	CA				
1,188,116	06/04/1985	CA				
1,194,703	10/08/1985	CA				
1,197,391	12/03/1985	CA				
1,204,296	05/13/1986	CA				
2,012,286	09/15/1991	CA				
205452	09/16/1939	CH				
47747	01/05/1981	CH				
657 172	08/15/1986	CH				
663 437 A5	12/15/1987	CH				
669 001	02/15/1989	CH				
18 11 932	06/01/1978	DE				
22 59 654	06/12/1974	DE				
27 19 107	11/16/1978	DE				
27 55 833	07/20/1978	DE				
E 02 D 29/02	09/21/1978	DE				
657 172 A5	12/22/1978	DE				
28 41 001	4/1980	DE				
34 01 629	07/26/1984	DE				
E02D 23/02E	05/11/1990	DE				
0 039 372	11/11/1981	EP				
0 130 921	01/09/1985	EP				
0 170 113	07/08/1985	EP				
0 215 991	09/13/1985	EP				
0 322 668	12/15/1988	EP				

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	0 362 110	04/04/1990	EP			
	392 474	11/27/1908	FR			
	1 360 872	04/05/1963	FR			FEB 27 2001
	2 228 900	05/08/1974	FR			
	2 243 304	09/09/1974	FR			TO 3600 MAIL ROOM
	2 343 871	05/05/1976	FR			
	2 409 351	11/16/1977	FR			
	2 422 780	12/01/1978	FR			
	2 463 237	08/09/1979	FR			
	2 465 032	09/07/1979	FR			
	2 476 179	02/19/1980	FR			
	12 561 684	03/23/1984	FR			
	0 215 991	01/04/1987	FR			
	1604-40091	04/01/1987	FR			
	2 622 227	12/28/1989	FR			X
	0 362 110	04/04/1990	FR			
	336	02/01/1871	GB			
	536,434	5/1941	GB			
	107,338	12/05/1916	GB			
	154,397	10/07/1919	GB			
	537,153	06/11/1941	GB			
	1 385 207	01/10/1975	GB			
	1 386 088	03/05/1975	GB			
	1 477 139	06/22/1977	GB			
	2 091 775	08/04/1982	GB			
	2 127 872	04/18/1984	GB			
	2 213 095	08/09/1989	GB			
	0 490 534 A2	11/28/1991	GB			
	456776	04/21/1950	IT			
	459942	10/11/1950	IT			
	709599	06/22/1966	IT			

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				Filing Date: 09/18/2000	Group Art Unit: 3673

	92167	07/29/1948	NZ				
	151299	04/15/1969	NZ				
	218330	09/27/1989	NZ				
	24781	05/28/1993	NZ				
	25131	06/24/1994	NZ				FEB 27 2001
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	27314	06/07/1996	NZ				
	27315	06/07/1996	NZ				
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	27317	06/07/1996	NZ				
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	27676	09/26/1996	NZ				
	27675	09/26/1996	NZ				
	27346	09/26/1996	NZ				
	678160	12/28/1977	RU				
	SU 1145106 A	05/18/1982	RU				
	SU 1500005 A1	11/15/1992	RU				

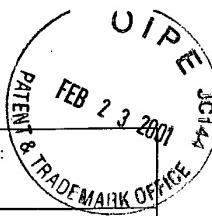
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Author Unknown, "Mortarless Perpend Keyed Jointed Block", 2 pgs. (1978) and Stepped Retaining Wall Units with Rear Downset Leg Produced on Besser Machines.
		Author Unknown, title unknown, 1 pg. (1989).
		Author Unknown, "3 easy holdups", <i>Popular Science</i> , (July 1989).
		Blaha B., "Retaining Wall System Keyed to Success", 3 pgs. (date unknown).
		Hubler, Jr., R., "Single-element retaining wall systems is ideal for block producers", pp. 30-33 (September 1983).
		Nanazashvily, I. K., "Stroitelnye materialy i drevesno-cementnoy kompozitsii", L. stoyizdat, Leningradskoe otstelenie, Fig. 11.2, pp. 334-335 (1990).
		Nanazashvily, T.K., "Stroitelnye materialy i drevesho-cementnoy kampozitsii", pp. 1-7, 334-335 (1990).
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		Applicant: WOOLFORD ET AL.	
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<i>H</i>	Pfeiffenberger, L., "Besser Technical Data for the Blockmaker", 4 pgs. (Fall 1982)..
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<i>H</i>	Turin, "Universal Concrete Masonry or Precast Garden Unit", 2 pgs. (1972).
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<i>H</i>	Anchor Autoclave Product Literature (1990).
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<i>H</i>	"Australian Concrete Technology", p. 296 (author and date unknown).
	"Aztech Wall System Installation Guide, Block Systems, Inc. (1989).
<i>H</i>	"Beautify Your Landscape", Block Systems, Inc. (August 1990).
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<i>H</i>	Besser Company Accessories Catalog, pp. 15-16 (1984).
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<i>H</i>	"Color Crib Wall", Brik Blok Industries (date unknown).
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<i>H</i>	"Cribwallining-techniques and design considerations", N.Z. Portland Cement Assoc. (April 1970).
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<i>H</i>	Drawing, 3/22/89, "Garden Unit".
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<i>H</i>	"Eskoo-kleine Kreuzwand", SF Kooperation gmbh (date unknown).
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<i>H</i>	Excerpts from deposition testimony of Paul J. Forsberg.
	Excerpts from deposition testimony of Robert McDonald.
<i>H</i>	"EZ Wall Systems" Product Literature, Rockwood Retaining Wall Systems, Inc. (date unknown).
	"Florakron System", Kronimus Betonsteinwerke (date unknown).
<i>H</i>	"Florida block and r/m plant relies on admixtures", 1 pg. (date unknown).

EXAMINER <i>J. H. J.</i>	DATE CONSIDERED 3/7/01
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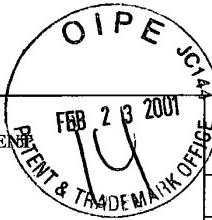
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	"Pinned Cribbing", Roccia (date unknown).	
<i>JH</i>	"Pisa II, Dura-Hold, Dura-Crib", Risi Stone, Ltd. (date unknown).	

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		Filing Date: 09/18/2000	Group Art Unit: 3673



<i>H</i>	"Pisa II" Interlocking Retaining Wall Supplies for Garden Landscaping (date unknown).	RECEIVED
	Profile Hex Masonry Units, 2 pgs. (date unknown).	<i>FEB 27 2001</i>
	Retaining Wall Block Pictures (date unknown).	<i>TO 3600 MAIL ROOM</i>
	"SF Kooperation", SF-Vollverbundstein-Kooperation GmbH (date unknown).	
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<i>H</i>	Keystone brochure entitled "Beautiful Do-It-Yourself Results," Library of Congress, June 27, 1988, 2 pages	



EXAMINER	<i>[Signature]</i>	DATE CONSIDERED	<i>5/7/01</i>
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